



**In the United States Patent and Trademark Office**

Applicant:	Kim M. Hoertsch	Docket No.:	13724
Serial No.:	10/010,511	Group No.:	1744
Confirmation No.:	6460	Examiner:	Unknown
Filed:	December 6, 2001	Date:	March 12, 2002
For:	DISPOSABLE ORAL HYGIENE DEVICE AND METHODS OF MAKING SAME		

**Information Disclosure Statement Pursuant to 37 C.F.R. 1.97(b)  
Before First Office Action or Within Three Months of Filing Date**

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

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Sir:

Pursuant to 37 C.F.R. 1.56 and in accordance with 37 C.F.R. 1.97 et seq., Applicant, through and by his or her attorneys, hereby wishes to direct the Examiner's attention to the documents listed on the attached modified PTO Form 1449 and the copies of those documents presented herewith for the Examiner's review and convenience.

Applicants also wish to bring the following commonly-assigned pending patent application to the attention of the Examiner:

U.S. Patent Application Serial No. 09/351,015 filed July 12, 1999, by Cise et al. for "ORAL CARE SYSTEM."

This application is not listed on the accompanying PTO Form 1449; however, a copy of this application is provided herewith.

By inclusion of any given document in this Information Disclosure Statement, Applicant in no way admits that such document is effective as prior art against the above-identified application under either 35 U.S.C. 102 or 35 U.S.C. 103.

Additionally, submission of any document is not to be taken as an admission of the materiality of the document to the prosecution of the present application.

The Examiner is requested to review each cited document and personally determine its prior art status.

Applicant recommends that the Examiner conduct an independent search for any and all available material prior art and independently review the results of such search and the documents cited within this Statement.

Applicant requests the Examiner, in accordance with 37 C.F.R. 1.97, to indicate and make of record receipt and review of all of these documents by initialing the appropriate box in the accompanying PTO-1449. Applicant requests that the undersigned receive a copy of the initialed PTO-1449.

If the Examiner refuses to consider any or all of the herein submitted materials because it is the Examiner's opinion that this Information Disclosure Statement is not in compliance with 37 C.F.R. 1.97, Applicant respectfully requests that the Examiner notify the undersigned, in writing, as to the basis of such opinion.

Please charge any prosecutorial fees which are due to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875.

The undersigned may be reached at: 920-721-7844.

Respectfully submitted,

KIM M. HOERTSCH

By: \_\_\_\_\_

*H. Michael Kubicki*

H. Michael Kubicki

Registration No.: 51,235



1744 #2  
0329.02

PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

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CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that the attached correspondence comprising:

Information Disclosure Statement Pursuant to 37 C.F.R. 1.97(b)  
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15 Page(s) of PTO Form 1449  
346 Document(s)

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(Signature of person mailing paper)

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## List of Patents and Publications for Information Disclosure Statement

Applicant: Kim M. Hoertsch  
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 Examiner: Unknown

U.S. PATENT DOCUMENTS							
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A1	D214238	05/1969	Bender			
	A2	D223651	05/1972	Hermann			
	A3	D282698	02/1986	Newton, Jr.			
	A4	D332658	01/1993	Thompson			
	A5	D379508	05/1997	Hudson et al.			
	A6	463,309	11/1891	Schulze			
	A7	1,002,468	09/1911	Strangman			
	A8	1,517,615	12/1924	Chynoweth			
	A9	1,894,413	01/1933	Nenning et al.			
	A10	1,896,941	02/1933	Cohen			
	A11	1,924,337	08/1933	Troupe			
	A12	2,016,951	10/1935	Welker			
	A13	2,165,420	07/1939	Seifert			
	A14	2,176,308	10/1939	Larkin			
	A15	2,271,861	02/1942	Harris			
	A16	2,419,896	04/1947	Hobelmann			
	A17	2,627,493	02/1953	Merckel et al.			
	A18	2,700,012	01/1955	Merckel et al.			
	A19	2,710,982	06/1955	Gillem			
	A20	2,769,193	11/1956	Jackson			
	A21	2,946,072	07/1960	Filler et al.			
	A22	3,103,935	09/1963	Woodrow			
	A23	3,105,260	10/1963	Smith et al.			
	A24	3,116,208	12/1963	Emond			
	A25	3,124,824	03/1964	Lutz			
	A26	3,138,820	06/1964	August			
	A27	3,174,174	03/1965	Dengler			
	A28	3,231,925	02/1966	Conder			

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

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U.S. PATENT DOCUMENTS							
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A29	3,235,460	02/1966	Ennever			
	A30	3,298,507	01/1967	Micciche			
	A31	3,321,796	05/1967	Lelicoff			
	A32	3,368,668	02/1968	Micciche			
	A33	3,422,184	01/1969	Goldman et al.			
	A34	3,458,268	07/1969	Wozab et al.			
	A35	3,467,979	09/1969	Bohlmann			
	A36	3,491,396	01/1970	Eannarino et al.			
	A37	3,534,887	10/1970	Ginsberg			
	A38	3,536,410	10/1970	Wargoe			
	A39	3,583,019	06/1971	Conklin, Jr.			
	A40	3,589,822	06/1971	Manuel			
	A41	3,589,823	06/1971	Hendrickson			
	A42	3,590,120	06/1971	Muhler			
	A43	3,609,789	10/1971	Slater			
	A44	3,629,395	12/1971	Litchfield et al.			
	A45	3,646,628	03/1972	Halford			
	A46	3,651,206	03/1972	Litchfield et al.			
	A47	3,655,866	04/1972	Bilotti			
	A48	3,675,264	07/1972	Storandt			
	A49	3,679,792	07/1972	Litchfield et al.			
	A50	3,691,585	09/1972	Flom			
	A51	3,707,013	12/1972	Erkers			
	A52	3,738,762	06/1973	Moore et al.			
	A53	3,749,766	07/1973	Litchfield et al.			
	A54	3,761,583	09/1973	Gladstone			
	A55	3,772,431	11/1973	Mlkvy et al.			
	A56	3,798,698	03/1974	Conklin, Jr.			

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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A57	3,853,412	12/1974	Griffin			
	A58	3,879,139	04/1975	Dahl et al.			
	A59	3,888,976	06/1975	Mlkvy et al.			
	A60	3,891,085	06/1975	Boger			
	A61	3,894,147	07/1975	Bahouth, deceased et al.			
	A62	3,897,548	07/1975	Katz			
	A63	3,902,509	09/1975	Tundermann et al.			
	A64	3,905,113	09/1975	Jacob			
	A65	3,917,420	11/1975	Watson			
	A66	3,943,592	03/1976	Bhaskar et al.			
	A67	3,952,867	04/1976	McCord			
	A68	3,962,417	06/1976	Howell			
	A69	3,985,869	10/1976	Yoshimura et al.			
	A70	4,029,760	06/1977	De Roeck born Holtzhauer et al.			
	A71	4,070,218	01/1978	Weber			
	A72	4,107,291	08/1978	Ishibashi			
	A73	4,115,893	09/1978	Nakata et al.			
	A74	4,127,645	11/1978	Witzel et al.			
	A75	4,137,593	02/1979	Porper			
	A76	4,148,872	04/1979	Wagenknecht, deceased et al.			
	A77	4,149,815	04/1979	Kawam			
	A78	4,150,112	04/1979	Wagenknecht, deceased et al.			
	A79	4,150,151	04/1979	Pader et al.			
	A80	4,156,715	05/1979	Wagenknecht, deceased et al.			
	A81	4,156,716	05/1979	Wagenknecht, deceased et al.			
	A82	4,157,385	06/1979	Wagenknecht, deceased et al.			
	A83	4,157,386	06/1979	La Rochelle			
	A84	4,159,315	06/1979	Wagenknecht, deceased et al.			

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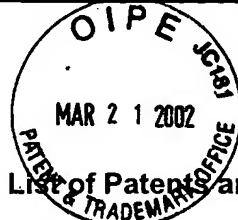
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U.S. PATENT DOCUMENTS							
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A85	4,160,054	07/1979	Wagenknecht, deceased et al.			
	A86	4,160,820	07/1979	Wagenknecht, deceased et al.			
	A87	4,161,517	07/1979	Wagenknecht, deceased et al.			
	A88	4,170,632	10/1979	Wagenknecht, deceased et al.			
	A89	4,170,633	10/1979	Wagenknecht, deceased et al.			
	A90	4,183,916	01/1980	Rodon			
	A91	4,198,171	04/1980	Lampka et al.			
	A92	4,213,961	07/1980	Curtis et al.			
	A93	4,217,341	08/1980	Suddick et al.			
	A94	4,217,343	08/1980	Gaffar et al.			
	A95	4,225,579	09/1980	Kleinberg			
	A96	4,237,911	12/1980	White			
	A97	4,243,655	01/1981	Gunther			
	A98	4,256,731	03/1981	Curtis et al.			
	A99	4,292,705	10/1981	Stouffer			
	A100	4,296,095	10/1981	Hoppe et al.			
	A101	4,306,555	12/1981	Ritter			
	A102	4,328,604	05/1982	Adams			
	A103	4,329,990	05/1982	Sneider			
	A104	4,335,100	06/1982	Robyt et al.			
	A105	4,335,731	06/1982	Bora, Jr.			
	A106	4,360,513	11/1982	Buck			
	A107	4,360,514	11/1982	Buck			
	A108	4,360,515	11/1982	Buck			
	A109	4,361,547	11/1982	Sipos et al.			
	A110	4,362,712	12/1982	Buck			
	A111	4,364,924	12/1982	Gander et al.			
	A112	4,364,927	12/1982	Sipos et al.			

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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A113	4,374,122	02/1983	Stroz et al.			
	A114	4,374,124	02/1983	Jarcho			
	A115	4,374,888	02/1983	Bornslaeger			
	A116	4,375,461	03/1983	Gander et al.			
	A117	4,376,761	03/1983	Okami et al.			
	A118	4,386,448	06/1983	Kohn			
	A119	4,399,582	08/1983	Ernest et al.			
	A120	4,401,130	08/1983	Halford et al.			
	A121	4,419,341	12/1983	Kolesnik et al.			
	A122	4,428,929	01/1984	Wicheta et al.			
	A123	4,430,013	02/1984	Kaufman			
	A124	4,432,114	02/1984	Goudsmit			
	A125	4,457,909	07/1984	Tames			
	A126	4,476,108	10/1984	Kessler et al.			
	A127	4,482,263	11/1984	Palamara			
	A128	4,498,209	02/1985	Weiss			
	A129	4,499,068	02/1985	Silbering et al.			
	A130	4,512,968	04/1985	Komiyama et al.			
	A131	4,517,701	05/1985	Stanford, Jr.			
	A132	4,525,340	06/1985	Lange et al.			
	A133	4,538,631	09/1985	Prince			
	A134	4,554,154	11/1985	White			
	A135	4,559,223	12/1985	Fox, Jr.			
	A136	4,563,345	01/1986	Arrick			
	A137	4,568,537	02/1986	Hoerman et al.			
	A138	4,570,653	02/1986	Wolf			
	A139	4,576,190	03/1986	Youssef			
	A140	4,588,089	05/1986	Yanz, Jr. et al.			

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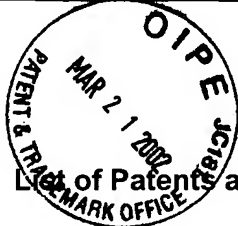
Docket No.: 13724  
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U.S. PATENT DOCUMENTS							
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A141	4,610,871	09/1986	Lynch			
	A142	4,610,872	09/1986	Lynch			
	A143	4,617,694	10/1986	Bori			
	A144	4,627,974	12/1986	Lynch			
	A145	4,627,976	12/1986	Lynch			
	A146	4,627,977	12/1986	Gaffar et al.			
	A147	4,627,980	12/1986	Lynch			
	A148	4,632,937	12/1986	Lynch			
	A149	4,639,368	01/1987	Niazi et al.			
	A150	4,647,450	03/1987	Peters et al.			
	A151	4,659,561	04/1987	Fives-Taylor et al.			
	A152	4,670,252	06/1987	Sampathkumar			
	A153	4,712,266	12/1987	Yamaki			
	A154	4,714,612	12/1987	Nakamura et al.			
	A155	4,724,136	02/1988	Scheibl			
	A156	4,738,001	04/1988	Shipp			
	A157	4,741,941	05/1988	Englebert et al.			
	A158	4,795,628	01/1989	Afseth			
	A159	4,804,530	02/1989	Sampathkumar			
	A160	4,811,445	03/1989	Lagieski			
	A161	4,824,661	04/1989	Wagner			
	A162	4,828,820	05/1989	Glass et al.			
	A163	4,831,676	05/1989	Denmark			
	A164	4,861,582	08/1989	Pollock et al.			
	A165	4,866,161	09/1989	Sikes et al.			
	A166	4,875,247	10/1989	Berg			
	A167	4,890,732	01/1990	Shackelford			
	A168	4,950,479	08/1990	Hill et al.			

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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A169	4,952,392	08/1990	Thame			
	A170	4,957,686	09/1990	Norris			
	A171	4,963,347	10/1990	Humphries et al.			
	A172	4,973,472	11/1990	Morisaki			
	A173	5,001,803	03/1991	Discko, Jr.			
	A174	5,009,881	04/1991	Hill et al.			
	A175	5,011,679	04/1991	Spanier et al.			
	A176	5,015,467	05/1991	Smitherman			
	A177	5,033,154	07/1991	Marchand et al.			
	A178	5,039,515	08/1991	Korf			
	A179	5,043,154	08/1991	Gaffar et al.			
	A180	5,044,041	09/1991	Ljungberg			
	A181	5,047,231	09/1991	Spanier et al.			
	A182	5,048,143	09/1991	Carroll			
	A183	5,054,154	10/1991	Schiffer et al.			
	A184	5,057,306	10/1991	Hill et al.			
	A185	5,057,307	10/1991	Hill et al.			
	A186	5,057,308	10/1991	Hill et al.			
	A187	5,057,309	10/1991	Hill et al.			
	A188	5,078,732	01/1992	Ceniceros			
	A189	5,079,288	01/1992	Humphries et al.			
	A190	5,085,851	02/1992	Okada et al.			
	A191	5,096,699	03/1992	Gaffar et al.			
	A192	5,100,651	03/1992	Boyer			
	A193	5,107,562	04/1992	Dunn			
	A194	5,110,583	05/1992	Sampathkumar			
	A195	5,133,971	07/1992	Copelan et al.			
	A196	5,138,737	08/1992	Thomas			

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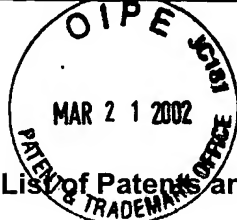
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	A197	5,144,712	09/1992	Hansel et al.			
	A198	5,150,495	09/1992	Discko, Jr. et al.			
	A199	5,167,952	12/1992	McHugh			
	A200	5,174,243	12/1992	O'Rourke			
	A201	5,180,578	01/1993	Gaffar et al.			
	A202	5,181,531	01/1993	Escoto et al.			
	A203	5,208,009	05/1993	Gaffar et al.			
	A204	5,213,428	05/1993	Salman			
	A205	5,223,248	06/1993	McNamara et al.			
	A206	5,228,433	07/1993	Rosen			
	A207	5,240,710	08/1993	Bar-Shalom et al.			
	A208	5,248,503	09/1993	Emanuel-King			
	A209	5,253,386	10/1993	LaLonde			
	A210	5,263,436	11/1993	Axelrod			
	A211	5,276,935	01/1994	Lemon et al.			
	A212	5,283,924	02/1994	Kaminski et al.			
	A213	5,291,878	03/1994	Lombardo et al.			
	A214	5,292,526	03/1994	Gaffar et al.			
	A215	5,292,528	03/1994	Mori et al.			
	A216	5,294,449	03/1994	Greenberg			
	A217	5,296,209	03/1994	Simone et al.			
	A218	5,304,009	04/1994	Marshall			
	A219	5,320,531	06/1994	Delizo-Madamba			
	A220	5,329,881	07/1994	O'Rourke			
	A221	5,337,436	08/1994	Saxer et al.			
	A222	5,348,153	09/1994	Cole			
	A223	5,355,547	10/1994	Fitjer			
	A224	5,356,615	10/1994	Gaffar			

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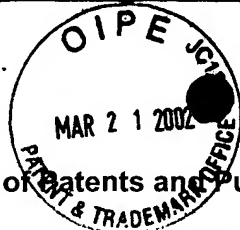
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	A225	5,380,530	01/1995	Hill			
	A226	5,407,358	04/1995	Gruber			
	A227	5,407,661	04/1995	Simone et al.			
	A228	5,414,060	05/1995	Humphries et al.			
	A229	5,440,774	08/1995	Cole			
	A230	5,445,825	08/1995	Copelan et al.			
	A231	5,458,563	10/1995	Stewart			
	A232	5,464,608	11/1995	Khartchenko et al.			
	A233	5,467,741	11/1995	O'Rourke			
	A234	5,470,566	11/1995	Lutzen			
	A235	5,472,685	12/1995	Gaffar			
	A236	5,476,647	12/1995	Chow et al.			
	A237	5,485,809	01/1996	Carroll			
	A238	5,487,201	01/1996	Hansen et al.			
	A239	5,490,530	02/1996	Snowden			
	A240	5,511,273	04/1996	Carroll			
	A241	5,524,764	06/1996	Kaufman et al.			
	A242	5,571,140	11/1996	Gura-Emerling			
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## List of Patents and Publications for Information Disclosure Statement

Applicant: Kim M. Hoertsch  
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Filed: December 6, 2001

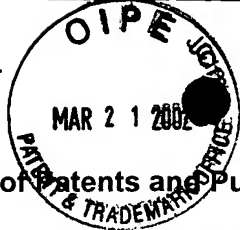
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	A253	5,711,938	01/1998	Larm				
	A254	5,729,859	03/1998	Guffin, III				
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Initials		Doc. No.	Date	Country	Class	Subclass	Trans.	
	A1	CA670452	09/1963	Canada			<input type="checkbox"/>	<input type="checkbox"/>
	A2	CA847007	07/1970	Canada			<input type="checkbox"/>	<input type="checkbox"/>
	A3	EP179166	10/1984	Europe (Engl. abstract)			<input type="checkbox"/>	<input checked="" type="checkbox"/>
	A4	EP399479	05/1990	Europe (Engl. abst. & claims)			<input type="checkbox"/>	<input checked="" type="checkbox"/>
	A5	EP 0465921 A1	06/1991	Europe			<input type="checkbox"/>	<input type="checkbox"/>
	A6	GB2108921 A	05/1983	Great Britain			<input type="checkbox"/>	<input type="checkbox"/>
	A7	UKD1028689	05/1986	Great Britain			<input type="checkbox"/>	<input type="checkbox"/>
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	A9	UKD1058082	10/1989	Great Britain			<input type="checkbox"/>	<input type="checkbox"/>
	A10	UKD1059823	12/1989	Great Britain			<input type="checkbox"/>	<input type="checkbox"/>
	A11	UKD2056502	09/1996	Great Britain			<input type="checkbox"/>	<input type="checkbox"/>
	A12	WO88/10110	12/1988	World - PCT			<input type="checkbox"/>	<input type="checkbox"/>
	A13	WO90/06745	06/1990	World - PCT			<input type="checkbox"/>	<input type="checkbox"/>
	A14	WO90/09748	09/1990	World - PCT			<input type="checkbox"/>	<input type="checkbox"/>
	A15	WO90/10434	09/1990	World - PCT			<input type="checkbox"/>	<input type="checkbox"/>
	A16	WO91/15975	10/1991	World - PCT (Engl. abs.)			<input type="checkbox"/>	<input checked="" type="checkbox"/>
	A17	WO92/07487	05/1992	World - PCT			<input type="checkbox"/>	<input type="checkbox"/>
	A18	WO92/18133	10/1992	World - PCT			<input type="checkbox"/>	<input type="checkbox"/>
	A19	WO94/02048	02/1994	World - PCT			<input type="checkbox"/>	<input type="checkbox"/>

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	A20	WO95/27501	10/1995	World – PCT			<input type="checkbox"/>	<input type="checkbox"/>
	A21	WO95/33452	12/1995	World – PCT (Engl. abs.)			<input type="checkbox"/>	<input checked="" type="checkbox"/>
	A22	WO96/37228	11/1996	World – PCT			<input type="checkbox"/>	<input type="checkbox"/>
	A23	WO96/38122	12/1996	World – PCT (Engl. abs.)			<input type="checkbox"/>	<input checked="" type="checkbox"/>
	A24	WO97/06772	02/1997	World – PCT			<input type="checkbox"/>	<input type="checkbox"/>
	A25	WO97/07777	03/1997	World – PCT			<input type="checkbox"/>	<input type="checkbox"/>
	A26	WO97/19668	06/1997	World – PCT (Engl. abs.)			<input type="checkbox"/>	<input checked="" type="checkbox"/>
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	A12	Derwent World Patent Database abstract of DE2523940: Description of Fischer G, "Liq for tooth and oral care to replace use of tooth brush."
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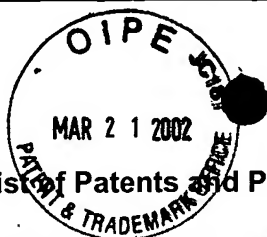
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	A39	Derwent World Patent Database abstract of JP3284625: Description of Suntory Ltd, "Cariostatic substance prodn. for treating dental caries."
	A40	Derwent World Patent Database abstract of JP4001114: Description of Kuraray Co Ltd, "Irritation-free teeth-cleansing agent esp. for cut tooth or cavities."
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	A62	Kimberly-Clark Ready Care Oral Care Systems sales literature featuring Ready Care Closed Suction Systems and Ready Care Dentaswab by Ballard Medical Products Critical Care, 2001, 2 pages.
	A63	Toothette® ready-to-use disposable tooth care by Sage Products Inc., Briggs Corporation sales catalog, circa spring 2001, page 81.

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